Guotai Junan International Securities (Singapore) Pte Limited

Fees schedule (Effective from 01 Oct 2026)

Market	Cur	Trading Hour (SGT)	Settle. Cycle	Trading Fees and Other fees	Custodian Fees and Corporate Action Fees
Bonds					
Bonds	All	09:30-11:30/ 13:00-17:00	Subject to market arrangement	• Up to 3% of the notional amount • Settlement fee: SGD 50.00 or equivalent per transaction	• Custodian Fee: 0.030% p.a. of the bond market value (Collected at every month-end, Min SGD 30.00/month) • Transfer by Bought & Sold Note: 0.1% of last market value per side • Convert Bonds into Shares: SGD 100.00 or equivalent per transaction • Bonds Receive/Delivery: SGD 50.00 or equivalent per transaction • Corporate Action Handling: SGD 100.00 or equivalent per transaction • Bonds Redemption: SGD 50.00 or equivalent per transaction
Fund					
Fund	All	N/A	Subject to the Fund Houses	• Subscription Fee: Up to 3% of the subscription amount. • Trailer Fee: Up to the full extent of the annual management fee charged by the respective fund house.	• Fund Unit Delivery: SGD 200 per fund
Structured Notes					
Structured Notes	All	N/A	Subject to the notes	• Up to 4% of the notional amount.	• Product Delivery: SGD 200 per note
Equity					
Singapore	SGD/ USD	09:00-12:00/ 13:00-17:00	T+2	• Trading fee: 0.0075% • Clearing fee: 0.0325%	• Stock Delivery: SGD 200.00 per stock code • Dividend Collection: 0.11% of dividend (Min SGD 25.00 / USD 14.00, Max SGD 150.00 / USD 85.00) • Rights Exercise: 0.25% of exercise amount (Min SGD 25.00 / USD 14.00) • Bonus Collection: SGD 25.00 per stock code
United States	USD	21:30-04:00 (Summer Time) 22:30-05:00 (Winter Time)	T+1	Audit Trail Fees: USD 0.000003 per share Sell order only: • TAF (Trading Activity Fee): USD 0.00 per share • SEC Fee: 0.0000206 x consideration per order	• Stock delivery: USD150.00 per stock • DRS transfer (in/out): USD150.00 per stock (inclusive all additional charges) • ADR custodian (if any): Min USD0.03 per share, record date decided by ADR agencies (inclusive all additional charges) • Dividend Withholding Tax: 0-30% (Subject to change by relevant Country tax policy) * • Dividend Collection: USD 12.00 per stock • Bonus Collection USD 12.00 per stock • ADR Stock Conversion: USD 0.05 per ADR share + Flat Fee USD 1,000 (inclusive all additional charges) • SPAC Redemption: USD 150.00 per stock (Subject to change according to the actual event) • SPAC Separation: USD 350.00 per stock (Subject to change according to the actual event)

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Hong Kong	All	09:30-12:00/ 13:00-16:00	T+2	• Stamp Duty: 0.10% Roundup to dollar * • Trading Fee: 0.00565% • Transaction Levy: 0.0027% * • AFRC Transaction Levy: 0.00015% * • Clearing Fee: 0.0042%	• Transfer by Bought & Sold Note: 0.1% of last market value per side Plus stamp duty (if any) • Deliver through CCASS (SI): 0.002% of the previous market closing price, Min HKD 2.00 and additional handling fees HKD 500 per stock • Dividend Collection: 0.12%, Min HKD 2.00, Max: HKD 5,000.00 & HKD50.00 handling charge per stock • Right / bonus issue entitlement: HKD 50.00 handling charge per stock • Rights/ warrant exercise: HK $0.8 per lot and HKD 50.00 handling charge per stock • Scrip fee (For Book Close Dates): HKD 1.50 per board lot
Cash Management					
Cash	All	09:00-14:00	T	• Transfer Fees: SGD55.00 (From SG to Overseas) per transaction	

IMPORTANT INFORMATION

1. Goods and Services Tax (GST) will be separately applied to eligible services, where applicable.

2. Fees and charges marked with an asterisk (*) are not subject to GST.

3. All fees are quoted in the currency of the transaction or asset unless otherwise stated.

Disclosure of Monetary and Non-Monetary Benefits in Relation to Product Distribution and Transactions

We and/or our affiliates may receive commissions, fees, rebates, spreads or other monetary or non-monetary from product issuers, counterparties, brokers, agents or other third parties in connection with trades, transactions or the distribution of investment products to you. In certain cases, the amount of such benefits may not be known at the time of the transaction. Where we execute the transaction at a better price with our counterparties, we will retain the benefit of any price improvement provided that the spread does not exceed the maximum amount or percentage range of fees or charges as detailed in this schedule.

We may also distribute or sell investment products issued or arranged by members of the GTJA Group or their associates, and may receive benefits in connection with the transactions, distribution or sale of such products. You acknowledge and agree that we are entitled to retain such commissions, fees, rebates and other benefits, unless otherwise required by applicable laws or regulations. As a result of these arrangements, we may not be acting as an independent intermediary in relation to investment products distributed or sold to you.