GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED

SHANGHAI / SHENZHEN A (STOCK CONNECT) FEES SCHEDULE (Effective from 1 October 2026)

Items	Charges
(A) TRADE-RELATED SERVICES	
Stamp Duty	0.05% of the consideration of a transaction (seller only)
Securities Management Fee	0.002% of the consideration of a transaction
Handling Fee	0.00341% of the consideration of a transaction
Transfer Fee	0.003% of the consideration of a transaction
Force Buy-In	1% of market value at close; and related charges (Collected by HKEX, HKSCC)
(B) SCRIP HANDLING AND SETTLEMENT-RELATED SERVICES	
Scripless Shares Delivery through CCASS (SI)	0.002% of the previous closing total value; Min CNY2 per stock; and additional handling fee CNY500 per stock
(C) INFORMATION-RELATED SERVICES	
Real-Time Price Quote Fee	Qian Long (mainland / overseas): HK$200 / HK$320 TSCI (mainland / overseas): HK$240 / HK$440
(D) NOMINEE SERVICES AND CORPORATE SERVICES	
Portfolio Fee	0.008%p.a. of total market value on daily basis, monthly aggregate
Dividend Collection Charge	CNY50.00 / Transaction
Dividend Tax	10% of Gross Dividend
Right Issue	CNY50.00 / Transaction
Bonus Issue	CNY50.00 / Transaction
Cash/Special/Open/Condition Offer Charge	CNY50.00 / Transaction
* China Connect ETFs are exempt from Securities Management Fee and Stamp Duty, The Transfer Fee is 0.002% (Waived 0.001% charged by China Clear) and Handling Fee is 0.004% of the transaction amount, while other fees remain the Stock Connect Fees Schedule.	
* The above charges were correct at the time of release but remain subject to change, our company reserves the right to introduce charges not included. For your own interest, please seek confirmation of the prevailing charge related to the service you need at anytime.	